## Summary of the November 2015 Report - Category 1, 2, 3 Projects

<table>
<thead>
<tr>
<th>CIO Assessment</th>
<th>Number</th>
<th>Percent</th>
<th>Dollar Value</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Active - Red</td>
<td>2</td>
<td>7.1%</td>
<td>$2,619,825</td>
<td>0.7%</td>
</tr>
<tr>
<td>Active - Yellow</td>
<td>4</td>
<td>14.3%</td>
<td>$122,067,712</td>
<td>34.2%</td>
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<tr>
<td>Active - Green</td>
<td>21</td>
<td>75.0%</td>
<td>$230,134,187</td>
<td>64.5%</td>
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<tr>
<td>Suspended</td>
<td>1</td>
<td>3.6%</td>
<td>$2,100,000</td>
<td>0.6%</td>
</tr>
<tr>
<td>Total</td>
<td>28</td>
<td>100.0%</td>
<td>$356,921,724</td>
<td>100.0%</td>
</tr>
</tbody>
</table>

**Number of Active Projects**

<table>
<thead>
<tr>
<th>CIO Assessment</th>
<th>November</th>
<th>August</th>
</tr>
</thead>
<tbody>
<tr>
<td>Red</td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td>Yellow</td>
<td>4</td>
<td>5</td>
</tr>
<tr>
<td>Green</td>
<td>21</td>
<td>28</td>
</tr>
</tbody>
</table>
Commonwealth of Virginia IT Projects Status Report for November 2015 - Report Description

The report, organized by project life cycle phase, summarizes information as of the latest IT Project Status Reports submitted through ProSight, unless otherwise noted. The information includes the most recent agency rating of the Dashboard Key Status Indicators, CIO assessment and comment, and comments from the Auditor of Public Accounts (APA). The report is presented in three parts: Part 1 - Status Assessment and Comment; Part 2 - Baselines; Part 3 - Projected for Initiation Approval in the current quarter. Specific descriptions of the report contents are provided in the following notes.

Notes:
(1) Secretariat and Agency identification numbers are now included in addition to the name.
(2) Pre-ITIB denotes a project approved prior to the inception of the IT Investment Board on July 1, 2003.
(3) Key Status Indicators:
   - Performance: Is the project on track to meet planned business goals and the associated measures of success?
   - Budget: Are the costs within the planned budget?
   - Schedule: Is the project on schedule?
   - Scope: Does the project remain within the approved scope?
   - Risk: Is the project being managed to minimize or mitigate the identified risks?
(4) Ratings:
   - Green - On Track.
   - Yellow - Warning, consider corrective action or monitor previous corrective action.
   - Red - Problem, immediate corrective action required.
(5) Draft status report completed by project manager; awaiting approval by agency head or sponsor.
(6) CIO assessment of the project, based on Secretariat evaluation and PMD analysis.
(7) Comments presented at most recent joint APA/PMD project review meeting.
(8) Projects are listed in order of Life Cycle Phase and within each phase by Secretariat then Agency.
(9) Date project granted Project Initiation Approval and entered Detailed Planning.
(10) Date project completed Detailed Planning and entered Execution and Control.
(11) Date project completed Execution and Control and entered Closeout.
(12) Values noted in Project Proposal and Charter when granted Initiation Approval. Figure not available for some Pre-ITIB projects.
(13) Current value reflecting any approved baseline changes. Variance from original value noted below the value.
(14) Current date reflecting any approved baseline changes. Variance from original date noted below the date.
(15) Planned and Actual Cost-to-Date figures based on agency and Commonwealth financial reporting, which can lag one or two months behind the project status reporting period.
186 Secretary of Transportation
154 Department of Motor Vehicles
FACE - Motor Carrier Portal Phase I
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Category-3
Under review, APA concurs with PMD review.
This project is currently on schedule and budget and working on the last phase which is scheduled to be completed by the end of December.

196 Secretary of Transportation
154 Department of Motor Vehicles
PCI/Credit Card Data Storage Project
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Category-3
Not under review at this time.
The project is quickly approaching the closeout phase. Things are on track to finish under budget.

196 Secretary of Transportation
501 Department of Transportation
Construction Documentation Management
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Category-2
Not under review at this time.
Work is proceeding as planned. The VOOC’s vendor is completing development of iterations 6 and 7. Test preparations are complete. The project was awarded a $100,000 FHWA grant to fund the data integration effort.

196 Secretary of Transportation
501 Department of Transportation
Physical Access Control System (PACS) Replacement Project
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Category-3
Not under review at this time.
Project is progressing well with adjustments now being made by VOOC Security Manager to the order of the districts being equipped with the new badging system. The order of PACS deployment to districts will better align with lulls in the district workload. Culpeper district is complete, Central Office is in progress, and Salem district will be starting shortly.

187 Secretary of Public Safety & Homeland Security
156 Department of State Police
Replacement and Enhancement of the Central Criminal History (CCH) Application
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Category-2
Under review, APA concurs with PMD review.
Project tracking green for scope, schedule, and budget. Currently the project team has been focused on area processing and disposition processing, exception processing functionality has been delivered to QA, and requirements and design for remaining functionality has begun.

186 Secretary of Health & Human Resources
601 Department of Health
Division of Disease Prevention (DDP) STDMIS Migration Project - MAVEN
Jun 30, 2015
Jun 30, 2015
Jun 30, 2015
Jun 30, 2015
Jun 30, 2015
Category-2
Not under review at this time.
Yellow assessment (trending green) pending change request #3. Agency submitted the change request 10/29/2015 which was approved by the CIO on 11/12/2015 impacting both project schedule and budget. The project management team waited for the formal contract negotiations and signatures before completing the change request.

188 Secretary of Health & Human Resources
601 Department of Health
Electronic Health Records (EHR)
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Category-1
Under review, APA concurs with PMD review.
Project continues to track within scope, schedule and budget based on the approved change request. The primary project activities include SWIMHI configuration and process documentation, 4.0 upgrade and technical preparation, vendor interface work, and select system enhancements.

188 Secretary of Health & Human Resources
601 Department of Social Services
MDP - EligMy Modernization - Program Migration Project
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Category-1
Under review, APA concurs with PMD review.
Yellow assessment (trending red) due to pending baseline change request. Note that this SROG EDP component project is no longer within the eHHR Program, which closed out in September 2015. Contract modifications 10 and 11 were approved by the Commonwealth CIO on July 21, 2015, which added more than forty enhancements, modifications and training to both phases of the project. Detailed estimates of schedule and budget impacts were expected in September, but are not yet complete; new ETA is November. Production enhancement releases are moving forward for October and December, and Phase 2 system testing is well underway, despite the absence of a modified project schedule and budget.

190 Secretary of Finance
152 Department of the Treasury
Unclaimed Property System Web Migration
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Sep 30, 2015
Category-3
Not under review at this time.
Project is progressing well for scope, schedule and budget. Code development is complete and agency is using the most modern NET technology for development of the new system. Testing environment is operational, progressing nicely and system performance is going well. Identified risks are being mitigated shortly after identification according to risk mitigation plan.
Green assessment (trending yellow) due to risk. Agency Key Status Indicators (KSIs) were assessed by the project manager as of 9/30/2015. Since then, the agency processed a change request and is now operating under an approved schedule. The business team continues meeting with the vendor, HCL, on business transition activities and production environment testing. Iteration 2 (Tax) is scheduled to go live in November. Implementation checklist will not be complete prior to go live due to time constraints which is adding to project risk.

Tier II School. Project is on track with scope, schedule and budget. Quarterly status report submitted on time and in accordance with the management agreement. The project steering committee remains actively engaged. Closeout report under review.

The project is on track with scope, schedule and budget. Quarterly status report submitted on time and in accordance with the management agreement. Closeout report under review.

Projects in the Closeout Phase

Projects under Suspension

Not under review at this time.

Red assessment due to unresolved vendor deliverables and project manager reassignment.

Project pending closeout waiting for all related vendor payments.

Project completed on schedule and budget. Independent Verification and Validation (IV&V) report pending.

Red assessment due to delayed closeout report. Project completed on schedule and budget ($112K for DMAS staff costs). The following deliverables were completed during this period: 1) Monitor ICD10 results, 2) work with Provider Integrity on PA rejects, and 3) send baseline claims metrics to CMS. Closeout report in process and scheduled for completion January 2016.

The Enhanced Credentialing Criteria (EC2) project is suspended and is in the process of being closed. The goal was to increase adult citizens ability to authenticate via Commonwealth Authentication System (CAS) from 70% to over 95%. The project was approximately 83% complete when the business sponsor funding the project made the business decision not to use the CAS as their authentication solution; CAS is the product that EC2 was meant to enhance. The funding agency called for a work stoppage and the project is now on track to complete the closeout process by November 13, 2015.

**Secretariat & Agency (1)** | **Project Title (2)** | **CIO Assessment** | **Life Cycle Phase (8)** | **Original Cost and Schedule Baselines (10)** | **Current Cost and Schedule Baselines** | **Most Recent Cost-to-Date (15)** | **Notes** |
--- | --- | --- | --- | --- | --- | --- | --- |
**Detail Planning (9)** | **Execution and Control (10)** | **Closeout (11)** | **Estimate at Completion** | **Planned Submission of Closeout Report** | **Estimate at Completion** | **Planned Submission of Closeout Report** | **Planned** | **Actual** |

#### Projects in the Detailed Planning Phase

1. **187 Secretary of Public Safety & Homeland Security**
   - **999 Department of Alcoholic Beverage Control**
   - **VA ABC Financial PeopleSoft Conversion**
   - **Cat-2**
   - **0**
   - **Jun 30, 2015**
   - **$1,550,000**
   - **Oct 2, 2015**
   - **No Change**
   - **Sep 1, 2016**
   - **$75,000**
   - **$38,567**

2. **188 Secretary of Health & Human Resources**
   - **720 Department of Behavioral Health and Developmental Services**
   - **PMS to Cardinal Interface Project**
   - **Cat-1**
   - **0**
   - **Jun 23, 2015**
   - **$783,000**
   - **Sep 30, 2016**
   - **No Change**
   - **No Change**
   - **$783,000**
   - **$59,664**

3. **188 Secretary of Health & Human Resources**
   - **765 Department of Social Services**
   - **CRS - Central Registry System Phase 2**
   - **Cat-1**
   - **0**
   - **May 18, 2015**
   - **$947,520**
   - **Apr 30, 2018**
   - **No Change**
   - **No Change**
   - **$150,545**
   - **$150,545**

#### Projects in the Execution and Control Phase

4. **180 Secretary of Administration**
   - **129 Department of Human Resources Management**
   - **PMIS Migration from UNISYS**
   - **Cat-2**
   - **0**
   - **Feb 16, 2015**
   - **Apr 9, 2015**
   - **$5,958,937**
   - **Jun 30, 2016**
   - **No Change**
   - **No Change**
   - **$2,659,527**
   - **$1,324,299**

5. **190 Secretary of Administration**
   - **194 Department of General Services**
   - **DRES - Integrated Real Estate Management System Replacement**
   - **Cat-2**
   - **0**
   - **Dec 18, 2014**
   - **Jul 30, 2015**
   - **$1,726,605**
   - **May 19, 2016**
   - **No Change**
   - **No Change**
   - **$872,560**
   - **$370,560**

6. **184 Secretary of Technology**
   - **136 Virginia Information Technologies Agency**
   - **Telecommunications Expense (Management) and Billing System (TEBS)**
   - **Cat-1**
   - **0**
   - **Feb 26, 2015**
   - **Jul 20, 2015**
   - **$3,065,403**
   - **Sep 1, 2016**
   - **$3,468,475**
   - **-459,658**
   - **No Change**
   - **$1,338,749**
   - **$1,007,714**

7. **185 Secretary of Education**
   - **236 Virginia Commonwealth University**
   - **Access Control Consolidation to Ensure Safety and Security (ACCESS)**
   - **Cat-3**
   - **1**
   - **Apr 1, 2015**
   - **Apr 27, 2015**
   - **$1,610,246**
   - **Oct 31, 2016**
   - **No Change**
   - **Mar 16, 2017**
   - **$190,876**
   - **$154,151**

8. **185 Secretary of Education**
   - **260 Virginia Community College System**
   - **Workforce Enterprise System (WES)**
   - **Cat-3**
   - **1**
   - **Feb 7, 2013**
   - **Feb 28, 2013**
   - **$7,566,378**
   - **Jan 30, 2015**
   - **No Change**
   - **Dec 31, 2015**
   - **$7,566,378**
   - **$6,013,140**

9. **186 Secretary of Education**
   - **425 Jamestown-Yorktown Foundation**
   - **Yorktown Museum Replacement - Technology**
   - **Cat-2**
   - **2**
   - **Mar 6, 2012**
   - **Jan 25, 2013**
   - **$2,385,000**
   - **Dec 31, 2016**
   - **$3,857,667**
   - **-1,462,667**
   - **No Change**
   - **$1,365,351**
   - **$1,370,999**

10. **186 Secretary of Transportation**
    - **154 Department of Motor Vehicles**
    - **FACE - Motor Carrier Portal Phase I**
    - **Cat-3**
    - **1**
    - **Apr 29, 2014**
    - **Sep 18, 2014**
    - **$3,882,000**
    - **Jun 30, 2015**
    - **No Change**
    - **Jan 15, 2016**
    - **$2,241,306**
    - **$1,994,705**

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Change requests approved by CIO January 2013, October 2014.

Printed: 11/24/2015
<table>
<thead>
<tr>
<th>Project Name</th>
<th>Category</th>
<th>Start Date</th>
<th>End Date</th>
<th>Status</th>
<th>Current Cost</th>
<th>Initial Cost</th>
<th>Variance</th>
<th>Change Approval Dates</th>
<th>Variance Approval Dates</th>
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<tbody>
<tr>
<td>PCI/Credit Card Data Storage Project</td>
<td>Cat-3</td>
<td>0</td>
<td>Jan 7, 2015</td>
<td>No Change</td>
<td>$2,409,250</td>
<td>$2,308,349</td>
<td></td>
<td>Jan 7, 2015**</td>
<td>**No Change</td>
</tr>
<tr>
<td>Construction Documentation Management</td>
<td>Cat-2</td>
<td>2</td>
<td>Jan 17, 2013</td>
<td>Apr 7, 2015</td>
<td>$1,196,000</td>
<td>Apr 30, 2014</td>
<td>$4,961,310</td>
<td>Apr 1, 2016</td>
<td>$1,964,481</td>
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<tr>
<td>Physical Access Control System (PACS) Replacement Project</td>
<td>Cat-3</td>
<td>0</td>
<td>Aug 29, 2015</td>
<td>Mar 1, 2016</td>
<td>$1,096,810</td>
<td>$2,308,349</td>
<td></td>
<td>No Change</td>
<td>**No Change</td>
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<tr>
<td>Strategic Life Diagram</td>
<td>Cat-3</td>
<td>2</td>
<td>Aug 25, 2014</td>
<td>Jan 30, 2015</td>
<td>$1,157,880</td>
<td>$1,030,885</td>
<td></td>
<td>No Change</td>
<td>**No Change</td>
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<tr>
<td>Replacement and Enhancement of the Central Criminal History (CCH) Application</td>
<td>Cat-2</td>
<td>1</td>
<td>Sep 27, 2013</td>
<td>Dec 19, 2013</td>
<td>$4,762,000</td>
<td>Dec 30, 2015</td>
<td>$7,366,051</td>
<td>Dec 30, 2016</td>
<td>$4,476,103</td>
</tr>
<tr>
<td>Electronic Health Records (EHR)</td>
<td>Cat-1</td>
<td>2</td>
<td>Jan 7, 2013</td>
<td>May 10, 2013</td>
<td>$32,392,400</td>
<td>Apr 18, 2016</td>
<td>$39,584,810</td>
<td>No Change</td>
<td>$6,731,837</td>
</tr>
<tr>
<td>CDSIP - Eligibility Modernization - Program Migration Project</td>
<td>Cat-1</td>
<td>3</td>
<td>Mar 18, 2013</td>
<td>Jan 31, 2014</td>
<td>$75,197,063</td>
<td>Feb 1, 2016</td>
<td>$119,962,312</td>
<td>Jul 30, 2016</td>
<td>$64,287,489</td>
</tr>
<tr>
<td>Financial Management Enterprise Rollout (Cardinal Project Part 3)</td>
<td>Cat-1</td>
<td>0</td>
<td>Mar 14, 2013</td>
<td>May 41, 2013</td>
<td>$60,000,000</td>
<td>Dec 13, 2016</td>
<td>$60,000,000</td>
<td>No Change</td>
<td>$56,565,434</td>
</tr>
<tr>
<td>Unclaimed Property System Web Migration</td>
<td>Cat-3</td>
<td>2</td>
<td>Apr 8, 2013</td>
<td>Dec 13, 2013</td>
<td>$732,324</td>
<td>Feb 28, 2014</td>
<td>$1,893,998</td>
<td>Apr 15, 2016</td>
<td>$1,551,473</td>
</tr>
<tr>
<td>Unemployment Insurance Modernization</td>
<td>Cat-1</td>
<td>3</td>
<td>Sep 17, 2009</td>
<td>Apr 8, 2011</td>
<td>$58,851,331</td>
<td>Sep 27, 2013</td>
<td>$65,849,155</td>
<td>Jun 16, 2018</td>
<td>$47,458,058</td>
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| Projects in the Closeout Phase                                               |          |            |          |                         |              |              |          |                      |                        |

**Projects in the Closeout Phase**

Printed: 11/24/2015
<table>
<thead>
<tr>
<th>Project Description</th>
<th>Category</th>
<th>Start Date</th>
<th>End Date</th>
<th>Initial Cost</th>
<th>Revisions</th>
<th>Final Cost</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Point of Sales Environment Upgrade</td>
<td>Cat-2</td>
<td>Oct 18, 2013</td>
<td>Feb 27, 2014</td>
<td>$3,500,000</td>
<td>No Change</td>
<td>$3,500,000</td>
<td></td>
</tr>
<tr>
<td>Web Redesign</td>
<td>Cat-2</td>
<td>Nov 12, 2014</td>
<td>Jan 5, 2015</td>
<td>$1,914,000</td>
<td>No Change</td>
<td>$1,935,000</td>
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<tr>
<td>HIPAA Upgrade Code Set (ICD-10)</td>
<td>Cat-2</td>
<td>Feb 3, 2012</td>
<td>Apr 20, 2012</td>
<td>$9,872,529</td>
<td>No Change</td>
<td>$10,367,978</td>
<td></td>
</tr>
<tr>
<td>DOJ - Data Warehouse</td>
<td>Cat-2</td>
<td>Sep 27, 2013</td>
<td>Jun 21, 2013</td>
<td>$3,200,000</td>
<td>No Change</td>
<td>$1,994,747</td>
<td></td>
</tr>
<tr>
<td>Enhanced Credentialing Components (ECC2)</td>
<td>Cat-2</td>
<td>Feb 20, 2015</td>
<td>Feb 23, 2015</td>
<td>$2,100,000</td>
<td>No Change</td>
<td>$2,050,500</td>
<td>Project suspended 6/5/2015. The funding was suspended in September 2015.</td>
</tr>
</tbody>
</table>

Projects under Suspension:

- CMS has extended the HIPAA ICD 10 compliance date for one year from 10/1/2014 to 10/1/2015. This impacts the ICD 10 project’s schedule, scope, and budget. DMAS has had the Fiscal Agent complete an assessment of the impact of the extension. DMAS has requested Federal Funding Participation for the extension through an Implementation APD update.

- DMAS has had the Fiscal Agent complete an assessment of the impact of the extension. DMAS has requested Federal Funding Participation for the extension through an Implementation APD update.
<table>
<thead>
<tr>
<th>Secretariat &amp; Agency (1)</th>
<th>Project Title (2)</th>
<th>Project Description</th>
<th>Estimated</th>
<th>Notes</th>
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</thead>
<tbody>
<tr>
<td>1 186 Secretary of Transportation</td>
<td>591 Department of Transportation</td>
<td>Highway Maintenance Management System</td>
<td>Jan 8, 2016</td>
<td>$7,014,000</td>
</tr>
<tr>
<td></td>
<td></td>
<td>The purpose of this investment is selection of a COTS package supporting the Highway Maintenance Management System (HMMS) project to provide an integrated, geospatially-enabled, holistic state-of-the-art solution software that meets VDOT’s business and system requirements.</td>
<td>Jun 30, 2018</td>
<td></td>
</tr>
<tr>
<td>2 187 Secretary of Public Safety &amp; Homeland Security</td>
<td>156 Department of State Police</td>
<td>STARS Asset Management Tracking System</td>
<td>Jan 15, 2016</td>
<td>$1,020,000</td>
</tr>
<tr>
<td></td>
<td></td>
<td>STARS needs an asset management and tracking system to provide up to date inventory information and historical tracking of radio and tower assets and equipment.</td>
<td>Sep 2, 2016</td>
<td></td>
</tr>
<tr>
<td>3 187 Secretary of Public Safety &amp; Homeland Security</td>
<td>999 Department of Alcoholic Beverage Control</td>
<td>ABC Licensing and Compliance System</td>
<td>Jan 11, 2016</td>
<td>$3,200,000</td>
</tr>
<tr>
<td></td>
<td></td>
<td>This project is for the procurement and implementation of a software application to store and maintain all information related to the licensee applications, licensee records, and license compliance records. This system will replace the existing Core, Webcore, eLFI, Licensee Search, WebInvize, Invize, eBanquet, and CMS (Regulatory function only) applications.</td>
<td>Mar 31, 2016</td>
<td></td>
</tr>
<tr>
<td>4 190 Secretary of Finance</td>
<td>161 Department of Taxation</td>
<td>Collection and Audit Case Management Mobile System</td>
<td>Nov 30, 2015</td>
<td>$3,039,850</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Mobile Collection and Case Management system will allow TAX field staff (auditors/collectors) to use tablets. The tablets would have an audit application that would encompass audit case management and audit workbench. Auditors could connect where ever a cell signal was available. The application would have the ability to work disconnected in the event there is no cell signal available. The system will have the ability to accept a credit/debit card or electronic check, and the ability to exchange encrypted electronic documents with taxpayers. The system will integrate directly with AR so audit returns could be loaded automatically in the appropriate compliance code and no additional involvement by other staff. As technology moves to more mobile platforms, TAX needs to enable systems to interface with them. It also makes sense for TAX staff, especially those in the field to have the same tools as our customers. This solution will allow TAX staff the use of a tablet and/or smart phone in the field to assist taxpayers with filing returns and paying their taxes. The field rep would pull out his smart device and file the returns electronically, with the use of a Square which is an electronic device that is attached to a tablet or Smartphone that can process credit card payments. The rep will be able to take the payment electronically and have the confirmation of the filing and the payment emailed to the taxpayer. This presents an opportunity for educating the taxpayer and achieving the Commonwealth/TAX goals for electronic filing and payment. This would decrease paperwork for the agent and save TAX time and money because funds will be processed to the bank more efficiently, no delays or costs for mailing and there is no paper return or check process.</td>
<td>Jun 30, 2019</td>
<td></td>
</tr>
</tbody>
</table>