3rd Party Risk Management

DMV’s approach for agency involvement, and improving the usability of the SSAE18 SOC reports.
About our agency

• Revenue
• Records, numbers and types
• Environments, Mixed, Private clouds
• MOU/Use agreements (in and out)
• Contracts
• ISA’s
• Security requirements, COV, SSA, 1075, DHS, PCI, FERPA, HIPPA
About us

• ISRM – Established in Apr 2016
• Roles
Our reach

• Executive Staff
• Contract boilerplate
• Purchase request reviews
• VITA Committees
Workforce

- Internal (DMV, P14,). - 1,800
- VITA - 400
- Contractor - 150
- External (big one) - 9,000
  - Dealertrack
  - Counties – over 40,000?
  - Etc
Data Sharing

• Code of Virginia
• DPPA
• etc
Data sharing

• Number of MOU’s: XXXXX

• Breakdown by dataflow and our dependency and XXXX
  • Services provided to us
  • Services provided to the public at cost
  • Provided to other COV agencies
  • Provided to localities
  • Breakdown: 5? Vendors --- XXXX dealerships
3rd party oversight

- Executive Chart – Mitchel, me ....
- Work team chart – Maria ...
- Single contact – 3rdpartyassurance@ddd (shared with all)
- SharePoint site
Process

• Work group review
  • 3rd party oversight yes/no
  • What level of assurance is required
  • DOA reporting for ARMICS?

• Exec group review
  • Initial communication – Deputy Comm
  • Residual risk acceptance
  • ...
Maria’s work

• The decision tree
• Status reports
• Review of the SOC or 525 gap analysis
• Feedback to partner
• Input into agency risk register
• Provide guidance to partner on enhancing the SOC
• DMV deliverables compared to Assertion of service
• Assertion compared to auditors comments
• Findings
What was missing?

• Records retention – outside of normal SOC, min and max ages
• Last slide, community effort ...
• VITA Contracts