

Analysis of Functional Criteria Compliance for Tier II Management Agreement - March 23, 2009

Eligibility Criteria/Institution: To be eligible for such additional authority as provided in this section, an institution seeking information technology operational authority shall document in any request for a memorandum of understanding in this functional area, the following criteria:	James Madison	George Masson	Old Dominion	Radford	Virginia Military Institute
The Institution is a member of the Virginia Association of State Colleges and University Purchasing Professionals (VASCUPP) as of July 1, 2003, and the Institution has implemented at least one of the following major systems in the last decade: a financial system, a human resources system, or a student information system;	Yes.	Yes.	No major systems projects identified.	Major system project currently in execution.	No major systems projects identified.
or, The Institution has successfully implemented at least one of the following major systems in the last five years: a financial system, a human resources system, or a student information system;	N/A	N/A	No major systems projects identified.	Major system project currently in execution.	No major systems projects identified.
The Institution has the Chief Information Officer position reporting to the president or chancellor, or to a position of no more than one level below the president or chancellor;	Confirmed by Board Resolution.	Assumed but is not confirmed.	Confirmed by Board Resolution.	Confirmed by Board Resolution.	Assumed but is not confirmed.
The Institution shall develop and the Board shall adopt an information technology strategic plan and information technology policies, standards, and guidelines governing project management, infrastructure architecture, ongoing operations, and security and project auditing as provided in Part IV of this Policy;	University Board Resolution formally adopted as specified in code - confirmed.	IT strategic plan could not be found. Need clarification on how Commonwealth ITRM Standards are applied. Project audit and infrastructure architecture standards missing.	IT Strategic Plan found. No standards found for project management, project auditing, or infrastructure architecture.	IT Strategic Plan found. IT Policies are published. No institutional IT standards found.	IT Strategic Plan found. With the exception of security standards, there are no defined standards.
The Institution has at least one project manager on staff that has successfully attained certification as a Project Management Professional from the Project Management Institute and has completed the Commonwealth Overview training, or has passed the Core and Facilitation processes test.	Yes.	Yes.	No.	Yes.	Yes.
of this Policy; and					

Recommendation	Tier II is recommended.	Tier II not recommended without explanation of CIO reporting and additional clarification on IT Strategic Planning and standards.	Tier II not recommended. Not in compliance with criteria set forth in the Restructured Higher Education Financial and Administrative Operations Act.	Tier II not recommended. Not in compliance with criteria set forth in the Restructured Higher Education Financial and Administrative Operations Act.	Tier II not recommended. Not in compliance with criteria set forth in the Restructured Higher Education Financial and Administrative Operations Act.
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Analysis:

- Green: Full compliance with criteria and documentation of compliance in the request.
- Yellow: Compliance inferred but not documented in the request.
- Red: Compliance not established or documented in the request.

IV. GENERAL PROVISIONS.

A. Board of Visitors Accountability and Delegation of Authority.

The Board of Visitors of a public institution of higher education shall at all times be fully and ultimately accountable for the proper fulfillment of the duties and responsibilities set forth in, and for the appropriate implementation of, this Policy. Consistent with this full and ultimate accountability, however, the Board may, pursuant to its legally permissible procedures, specifically delegate either herein or by separate Board resolution the duties and responsibilities set forth in this Policy to a person or persons within the Institution, who, while continuing to be fully accountable for such duties and responsibilities, may further delegate the implementation of those duties and responsibilities pursuant to the Institution's usual delegation policies and procedures.

B. Strategic Planning.

The President of the Institution, acting through the appropriate designee, shall be responsible for overall IT strategic planning at the Institution, which shall be linked to and in support of the Institution's overall strategic plan. At least 45 days prior to each fiscal year, the President, acting through the appropriate designee, shall make available the Institution's IT strategic plan covering the next fiscal year to the State CIO for his review and comment with regard to the consistency of the Institution's plan of the Code of Virginia and into which the Institution's plan is to be incorporated.

C. Expenditure Reporting and Budgeting.

The President of the Institution, acting through the appropriate designee, shall approve and be responsible for overall IT budgeting and investments at the Institution. The Institution's IT budget and investments shall be linked to and in support of the Institution's IT strategic plan, and shall be consistent with general institution policies, the Board approved annual operating budget, and other Board approvals for certain procurements. By October 1 of each year, the President, acting through the appropriate designee, shall make available to the State CIO and the Information Technology Investment Board a report on the previous fiscal year's IT expenditures. The Institution shall be specifically exempt from: (i) subdivision A 4 of § 2.22007 of the Code of Virginia (review by the State CIO of IT budget requests), as it currently exists and from time to time may be amended; (ii) §§ 2.22022, 2.22023, and 2.22024 of the Code of Virginia (Virginia Technology Infrastructure Fund), as they currently exist and from time to time may be amended; and (iii) any other substantially similar provision of the Code of Virginia governing IT expenditure reporting and budgeting, as it currently exists and from time to time may be amended.

D. Project Management.

The Board shall adopt the project management policies, standards, and guidelines developed by the Commonwealth or those based upon industry best practices for project management as defined by leading IT co revised from time to time. On a quarterly basis, the President, acting through the appropriate designee, shall report to the Information Technology Investment Board on the budget, schedule, and overall status of the

The Institution shall be specifically exempt from: § 2.22008 of Title 2.2 of the Code of Virginia (additional duties of the State CIO relating to project management) as it currently exists and from time to time may be an

The State CIO and the Information Technology Investment Board shall continue to have the authority regarding project suspension and termination as provided in § 2.22015 and in subdivision 3 of § 2.22458, respect Projects in excess of \$2 million shall be reviewed by the State CIO and the Information Technology Investment Board. The State CIO and the Information Technology Investment Board shall, within 30 days of receip