3rd Party Risk Management

DMV's approach for agency involvement, and improving the usability of the SSAE18 SOC reports.

About our agency

- Revenue
- Records, numbers and types
- Environments, Mixed, Private clouds
- MOU/Use agreements (in and out)
- Contracts
- ISA's
- Security requirements, COV, SSA, 1075, DHS, PCI, FERPA, HIPPA

About us

- ISRM – Established in Apr 2016
- Roles

Our reach

- Executive Staff
- Contract boilerplate
- Purchase request reviews
- VITA Committees

Workforce

- Internal (DMV, P14,). - 1,800
- VITA - 400
- Contractor - 150
- External (big one) -9,000
  - Dealertrack
  - Counties – over 40,000?
  - Etc

Data Sharing

- Code of Virginia

- DPPA

- etc

Data sharing

- Number of MOU's: XXXXX

- Breakdown by dataflow and our dependency and XXXX
  - Services provided to us
  - Services provided to the public at cost
  - Provided to other COV agencies
  - Provided to localities
  - Breakdown: 5? Vendors --- XXXX dealerships

3rd party oversight

- Executive Chart – Mitchel, me ….
- Work team chart – Maria …
- Single contact – 3rdpartyassurance@ddd (shared with all)
- SharePoint site

Process

- Work group review
  - 3rd party oversight yes/no
  - What level of assurance is required
  - DOA reporting for ARMICS?

- Exec group review
  - Initial communication – Deputy Comm
  - Residual risk acceptance
  - …

Maria's work

- The decision tree
- Status reports
- Review of the SOC or 525 gap analysis
- Feedback to partner
- Input into agency risk register
- Provide guidance to partner on enhancing the SOC

- DMV deliverables compared to Assertion of service
- Assertation compared to auditors comments
- Findings

What was missing?

- Records retention – outside of normal SOC, min and max ages
- Last slide, community effort …
- VITA Contracts